

# Agenda

## REGULATORY AND AUDIT COMMITTEE

**Date:** Wednesday 27 April 2016  
**Time:** 9.00 am  
**Venue:** Mezzanine Room 2, County Hall, Aylesbury

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Agenda Item	Time	Page No
1 <b>APOLOGIES FOR ABSENCE / CHANGES IN MEMBERSHIP</b>	09.00	
2 <b>DECLARATIONS OF INTEREST</b> To disclose any Personal or Disclosable Pecuniary Interests		
3 <b>MINUTES</b> of the meeting held on 03 February 2016 to be confirmed as a correct record and signed by the Chairman.		5 - 14
4 <b>CONTRACT MANAGEMENT APPLICATION UPDATE</b> To be presented by Michelle Granat.	09.05	15 - 20
5 <b>CONTRACT STANDING ORDERS- EXEMPTIONS/BREACHES</b> To be presented by Tricia Hook.	09.15	21 - 26
6 <b>WHISTLEBLOWING POLICY - INCIDENTS AND EFFECTIVENESS</b> To be presented by Sam Watts.	09.25	27 - 46



INVESTOR IN PEOPLE



<b>7</b>	<b>OUTSIDE BODIES UPDATE</b> To be presented by Mike Appleyard and Sara Turnbull.	<b>09.35</b>	<b>47 - 64</b>
<b>8</b>	<b>BUCKS LEARNING TRUST UPDATE</b> To be presented by Nick Wilson.	<b>09.50</b>	<b>65 - 66</b>
<b>9</b>	<b>BUSINESS ASSURANCE PROGRESS REPORT</b> To be presented by Ian Dyson.	<b>10.05</b>	<b>67 - 84</b>
<b>10</b>	<b>FUTURE EXTERNAL AUDIT ARRANGEMENTS</b> To be presented by Richard Schmidt.	<b>10.25</b>	<b>85 - 88</b>
<b>11</b>	<b>DRAFT 16/17 BUSINESS ASSURANCE STRATEGY (RISK MANAGEMENT AND INTERNAL AUDIT PLAN)</b> To be presented by Maggie Gibb.	<b>10.35</b>	<b>89 - 104</b>
<b>12</b>	<b>PENSION AUDIT FUND PLAN</b> To be presented by Grant Thornton Auditors.	<b>10.55</b>	<b>105 - 120</b>
<b>13</b>	<b>RIPA (REGULATION OF INVESTIGATORY POWERS ACT 2000)</b> To be provided by Sarah Ashmead.	<b>11.15</b>	<b>121 - 136</b>
<b>14</b>	<b>RISK MANAGEMENT GROUP UPDATE</b> To be provided by Maggie Gibb.	<b>11.30</b>	<b>137 - 138</b>
<b>15</b>	<b>FORWARD PLAN</b> Current forward plan under revision, to be verbally discussed at meeting.	<b>11.50</b>	
<b>16</b>	<b>DATE AND TIME OF NEXT MEETING</b> 25 May 2016.	<b>12.00</b>	

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If you would like to attend a meeting, but need extra help to do so, for example because of a disability, please contact us as early as possible, so that we can try to put the right support in place.

*For further information please contact: Nichola Beagle on 01296 382662, email: [njbeagle@buckscc.gov.uk](mailto:njbeagle@buckscc.gov.uk)*

### **Members**

Mr T Butcher (VC)	Mr P Hardy
Mr W Chapple OBE	Mr D Martin
Mrs A Davies	Mr R Scott (C)
Mr T Egleton	Mr A Stevens